JCO3 Rec'd PCT/PTO 2 3 SEP 2005

ORM PTO-1390 (Modified) REV. 2-2005) ATTORNEY'S DOCKET NUMBER U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE 278709US26XPCT TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 **ESON** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP03/12105 24 March 2003 22 September 2003 TITLE OF INVENTION SURFACE TREATING METHOD AND SURFACE-TREATING APPARATUS APPLICANT(S) FOR DO/EO/US Toshio GOTO et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), 3. \boxtimes (9) and (24) indicated below. \boxtimes The US has been elected (Article 31). 4. X 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. 🛛 is attached hereto (required only if not communicated by the International Bureau). b. 🗆 has been communicated by the International Bureau. c. 🔲 is not required, as the application was filed in the United States Receiving Office (RO/US). 6. \boxtimes An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). \boxtimes is attached hereto. b. 🗆 has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau). b. 🗆 have been communicated by the International Bureau. \boxtimes C. have not been made; however, the time limit for making such amendments has NOT expired. d. 🗆 have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. A copy of the International Preliminary Examination Report (PCT/IPEA/409). A copy of the International Search Report (PCT/ISA/210). \boxtimes Items 13 to 23 below concern document(s) or information included: 13. \boxtimes An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 16. 17. A substitute specification. 18. A power of attorney and/or change of address letter. 19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 20. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 21. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 22. Express Mail Label No. 23. \boxtimes Other items or information: Application Data Sheet **Notice of Priority** Drawings (7 sheets) PCT/IB/308 PTO-1449 References Cited (6)

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
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U.S. APPLICATION NO (ILLINOWN, see 37 CFR 1.5)			INTERNATIONAL APPLICATION NO.				ATTORNEY'S DOCKET NUMBER			
LU	/ <u>5500</u>	2U	РСТ/ЈР	03/1	2 <u>105</u>	;	 	278709US26XPCT		
The following fees are submitted:							CAI	LCULATIONS	PTO USE	
24. Basic national fee\$300						\$	\$300.00	<u></u>		
25. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)								\$200.00		
26. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority								\$400.00		
TOTAL	L OF 24, 25 and	1 26 =					\$	\$900.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.										
Total Sheets	Extra Sheets	fraction thereof (round up to a wh				RATE				
- 100 =	0 /50 =		0		×	\$250.00	\$	\$0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).							\$	\$130.00		
CLAIMS	NUMBER F	ILED N	IUMBER EXTRA		RA	TE				
Total claims	7	- 20 =	0	х		\$50.00	\$	\$0.00		
Independent claim	ns 2	- 3=	0	х		\$200.00	\$	\$0.00		
MULTIPLE DEPE	NDENT CLAIMS	(if applicable)		+		\$360.00	\$	\$0.00		
TOTAL OF ABOVE CALCULATIONS =							\$	\$1,030.00		
☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are								\$0.00		
reduced by 1/2.							\$ \$			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).							\$	\$1,030.00 \$0.00		
TOTAL NATIONAL FEE =								\$1,030.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +								\$0.00		
TOTAL FEES ENCLOSED =								\$1,030.00		
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a. A check in the amount of \$ to cover the above fees is enclosed.									3	
b. Please charge my Deposit Account No. in the amount of A duplicate copy of this sheet is enclosed.								to cover	r the above fees.	
c. 🗵 The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment										
d. 🗵 Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card										
information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a netition to revive (37 CFR 1.437(a) or (b))										
must be filed and	granted to resto	re the Interna	ational Application t	lo per	nding	status.		12/12	////	
SEND ALL CORRESPONDENCE TO: Customer Number								Vail Cl	mbach	
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Steven P. V							Veihr	ouch		
NAME CO							rwir	rwin P. Umbach, Ph.D.		
-32,829 R								egistration No. 40,211		

JC20 Rec'd PCT/FTO 23 SEP 2009

DOCKET NO.: 278709US26XPCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Toshio GOTO, et al. SERIAL NO.: NEW U.S. PCT APPLICATION

FILED: HEREWITH

INTERNATIONAL APPLICATION NO.: PCT/JP03/12105 INTERNATIONAL FILING DATE: September 22, 2003

FOR: SURFACE TREATING METHOD AND SURFACE-TREATING APPARATUS

AND THE INTERNATIONAL CONVENTION

Commissioner for Patents Alexandria, Virginia 22313

Sir:

In the matter of the above-identified application for patent, notice is hereby given that the applicant claims as priority:

COUNTRY Japan

<u>APPLICATION NO</u>

DAY/MONTH/YEAR

2003-081556 24 March 2003

Certified copies of the corresponding Convention application(s) were submitted to the International Bureau in PCT Application No. PCT/JP03/12105.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSTADT, P.C.

Customer Number

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